



Literature Number: SNAP003

PLL Fundamentals

Part 3: PLL Design

Dean Banerjee

Overview

- Frequency Planning
 - **Number Theory Overview**
 - Types of Frequency Plans
- Loop Filter Design
 - Choosing Loop Parameters
 - Tricks and Tips for Optimization
- Practical Things that Textbooks Won't Tell You
 - Real World Component Values
 - Suggestions for Various Pins
 - PLL Debugging Tips

Less Known Divisibility Rules

- **Divisibility by 2,4, & 8**

- Even numbers are divisible by 2
- Divisible by 4 if the last 2 digits are divisible by 4
- Divisible by 8 if the last 3 digits are divisible by 8

- **Divisibility by 3 & 9**

- A number is divisible by 3 if the sum of the digits is divisible by 3
- A number is divisible by 9 if the sum of the digits is divisible by 9
- 367218 is divisible by 9 since $3+6+7+2+1+8$ is divisible by 9

- **Divisibility by 11**

- A number is divisible by 11 if the sum of odd and even digits is divisible by 11
- 12111 is divisible by 11 since $1-2+1-1+1$ is divisible by 11

Least Common Multiple (LCM)

- This is the least number that is a multiple of a collection of two or more numbers
 - $\text{LCM}(6,14) = 42$
 - $\text{LCM}(A,B,C) = \text{LCM}(\text{LCM}(A,B), C)$
- This can be expanded to rational numbers
 - $\text{LCM}(30.72 \text{ MHz}, 61.44 \text{ MHz}) = 61.44 \text{ MHz}$
- A general technique for any fraction such as $\text{LCM}(5/3, 17/12)$
 - $5/3 \times A = \text{LCM}(5/3, 17/12)$
 - $17/12 \times B = \text{LCM}(5/3, 17/12)$
 - $B/A = (5/3)/(17/12) = 60/51 = 20/17 \Rightarrow B = 20$
 - $\text{LCM}(5/3, 17/12) = 17/12 \times 20 = 85/3$

Greatest Common Divisor (GCD)

- This is the greatest number that divides into two or more numbers
 - $\text{GCD}(6,14) = 2$
 - $\text{GCD}(A,B,C) = \text{GCD}(\text{GCD}(A,B), C)$
- This can be expanded to rational numbers
 - $\text{LCM}(10 \text{ MHz}, 21 \text{ MHz}) = 1 \text{ MHz}$
- A general technique for any fraction such as $\text{GCD}(5/3, 17/12)$
 - $\text{GCD}(5/3, 17/12) \times A = 5/3$
 - $\text{GCD}(5/3, 17/12) \times B = 17/12$
 - $A/B = (5/3)/(17/12) = 60/51 = 20/17 \Rightarrow A = 20$
 - $\text{GCD}(5/3, 17/12) = (5/3)/20 = 1/12$

Alternative Calculation Method

- Works for Non-Repeating Decimals
- Calculate LCM and GCD of 14.4 and 16.44
- **LCM = 15 x 64 x 3 x 2 x 4 x 4 x 0.01 = 921.6**
 - 15 x 14.4 = 921.6
 - 64 x 16.44 = 921.6
- **GCD = 0.01 x 4 x 4 x 2 x 3 = 0.96**
 - 14.4/15 = 0.96
 - 61.44/64 = 0.96

	14.4	61.44
0.01	1440	6144
4	360	1536
4	90	384
2	45	192
3	15	64

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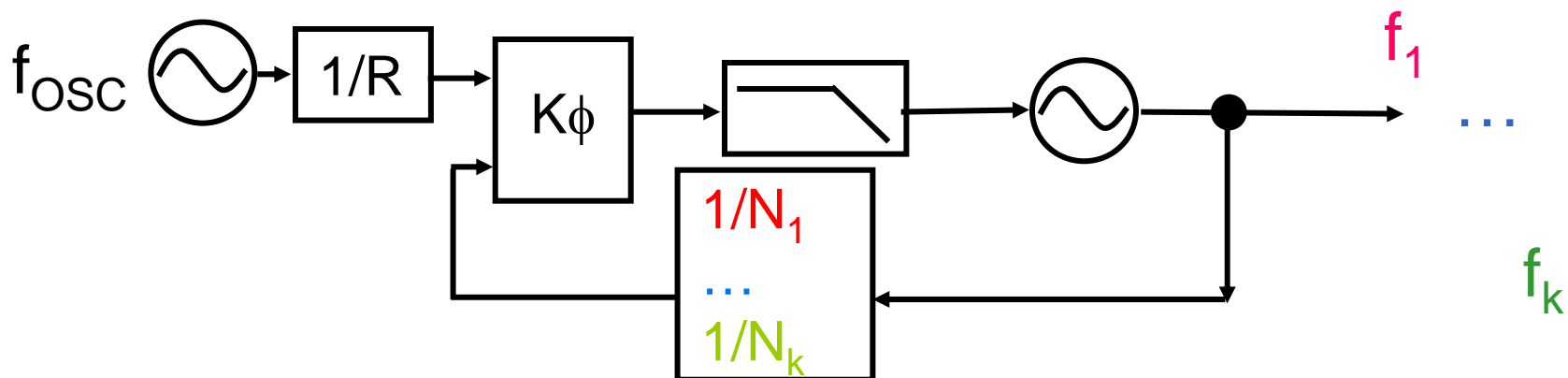
Types of Frequency Plans

	Fixed Frequencies	Variable Frequencies
Single Output	Fixed Frequency Plan	Tunable Frequency Plan
Multiple Outputs	Simultaneous Frequency Plan	Not too Common



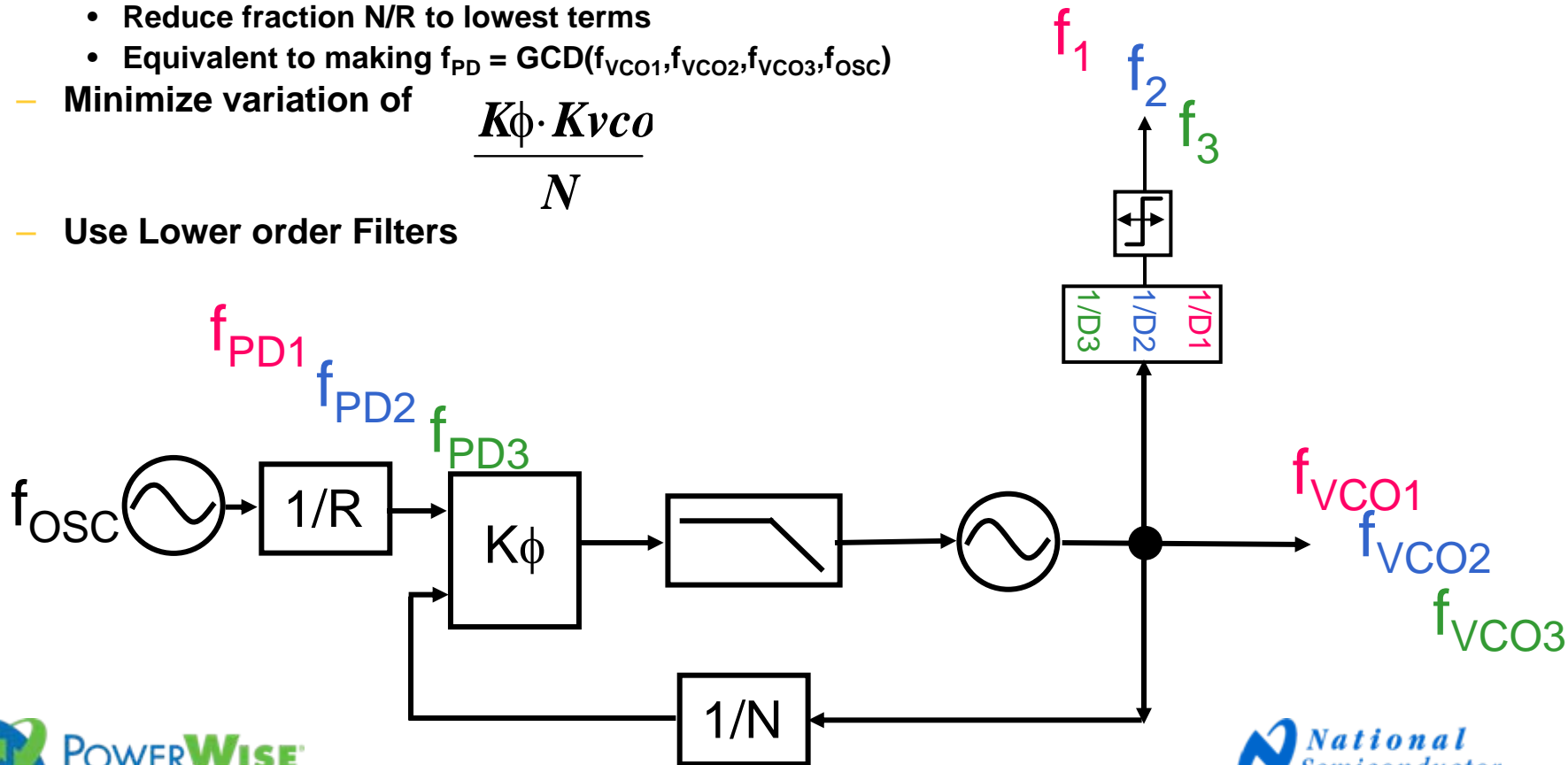
Traditional Tunable Frequency Method

- Phase Detector Frequency is fixed and the N divider changes
- Try to Optimize the Phase Detector frequency
 - Integer N PLL: Equal to the spacing, $\text{GCD}(f_1, \dots, f_k)$
 - Fractional N PLL: Can be made typically as large as f_{osc} (i.e. $F_{\text{den}} \times \text{GCD}(f_1 \dots f_k)$)



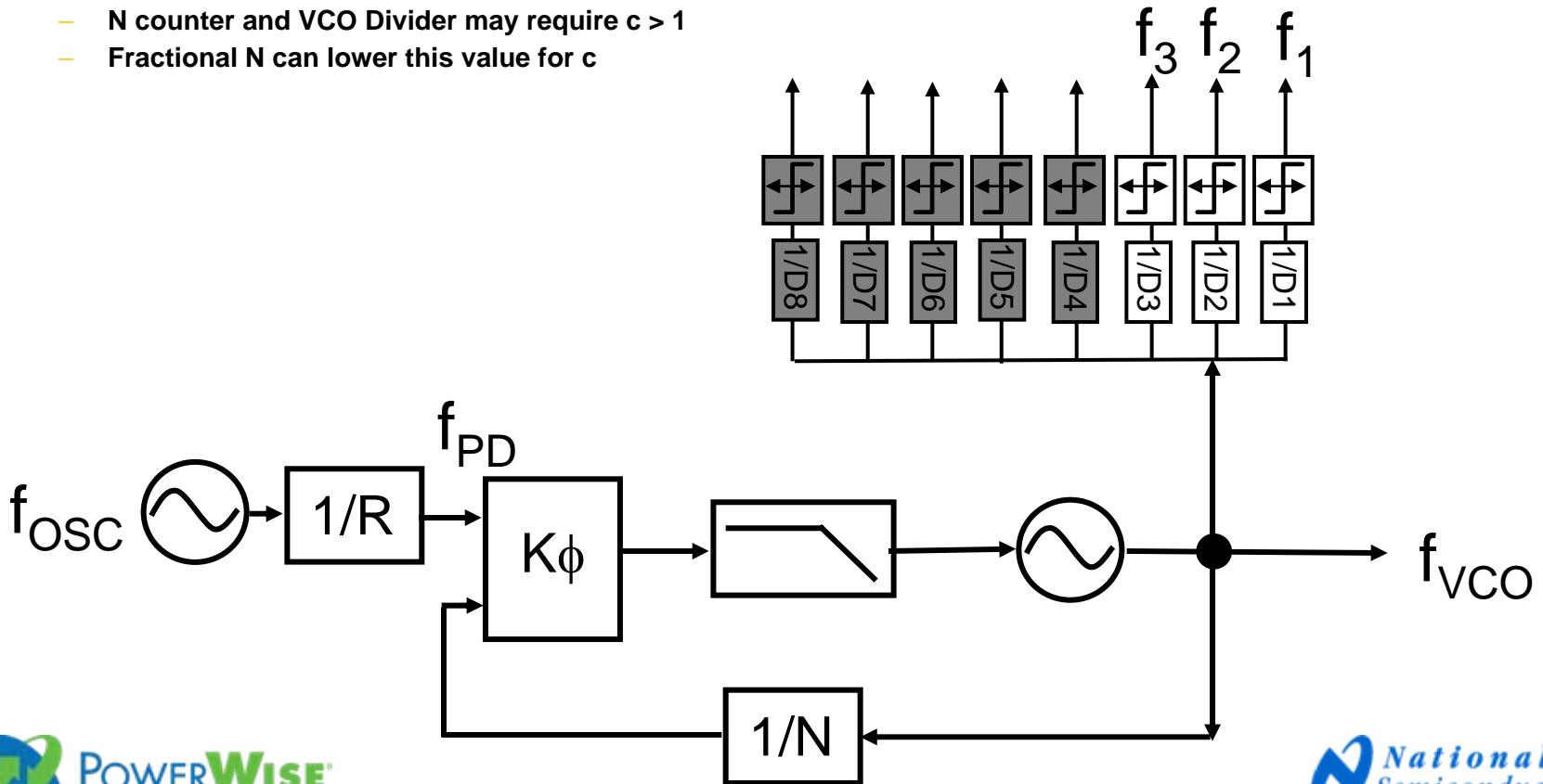
Other Tunable Frequency Method

- Each frequency can have its own phase detector frequency, VCO frequency, and channel divide value
- Filter Optimization Tips ...
 - Maximize phase detector frequency
 - Reduce fraction N/R to lowest terms
 - Equivalent to making $f_{PD} = \text{GCD}(f_{VCO1}, f_{VCO2}, f_{VCO3}, f_{OSC})$
 - Minimize variation of
$$\frac{K\phi \cdot K_{vco}}{N}$$
 - Use Lower order Filters



Simultaneous Frequency Planning Techniques

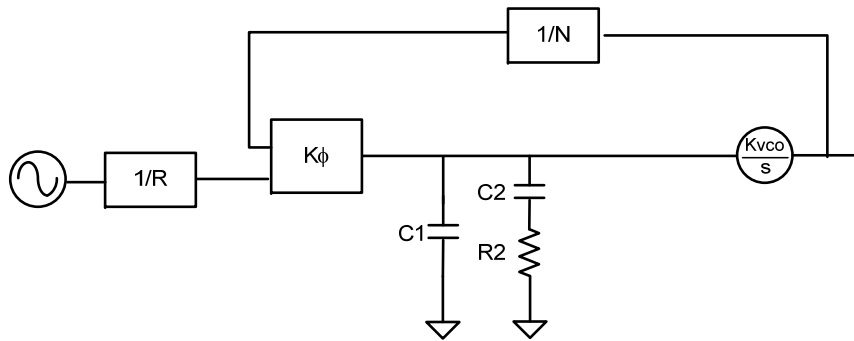
- $f_{VCO} = k \times \text{LCM}(f_1, f_2, f_3)$
 - $k = 1, 2, 3, \dots$
 - VCO Frequency may require that $k > 1$
- $f_{PD} = \text{GCD}(f_{VCO}, f_{OSC}) / c$
 - $c = 1, 2, 3, \dots$, where 1 is preferable
 - N counter and VCO Divider may require $c > 1$
 - Fractional N can lower this value for c



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Loop Filter Design

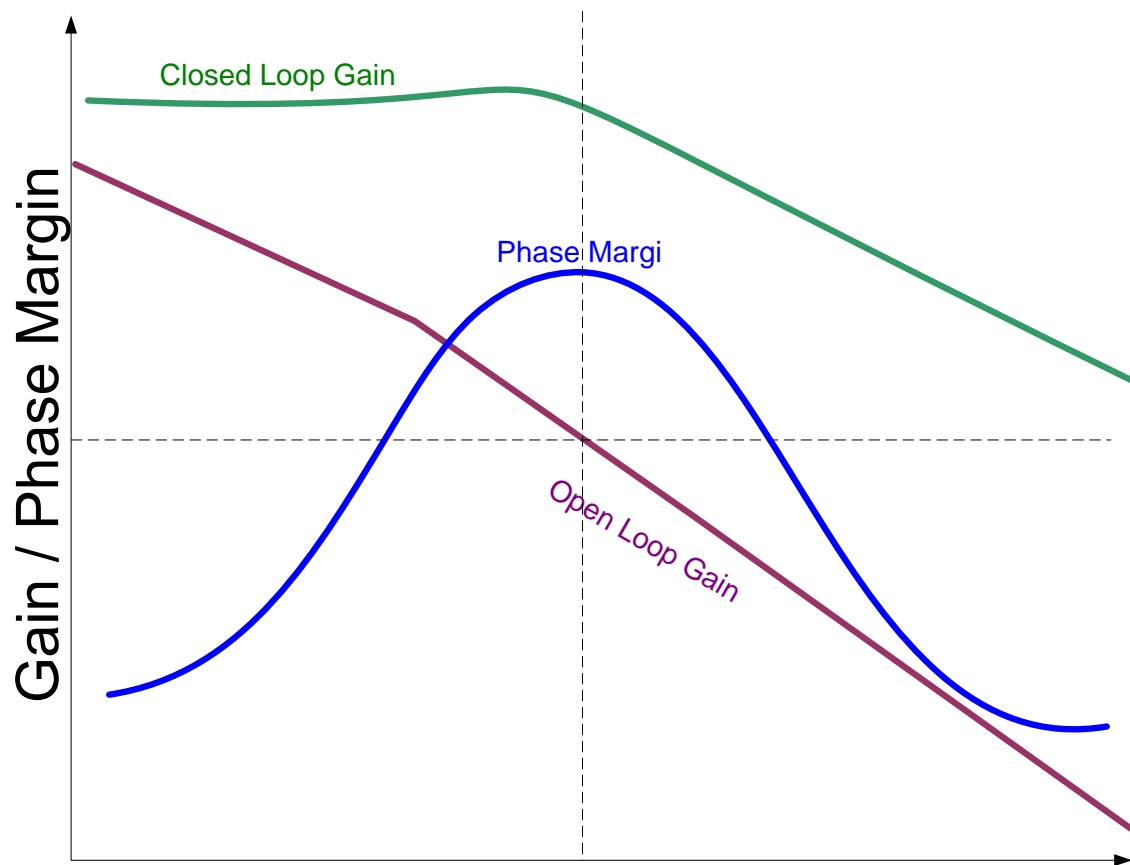


$$Z(s) = \frac{1 + C2 \cdot R2}{(C1 + C2) \cdot \left(1 + s \cdot \frac{C1 \cdot C2}{C1 + C2}\right)} = \frac{1 + T2}{(C1 + C2) \cdot (1 + s \cdot T1)}$$

- **Need to solve for 3 Unknowns**
 - T1
 - T2
 - C1+C2



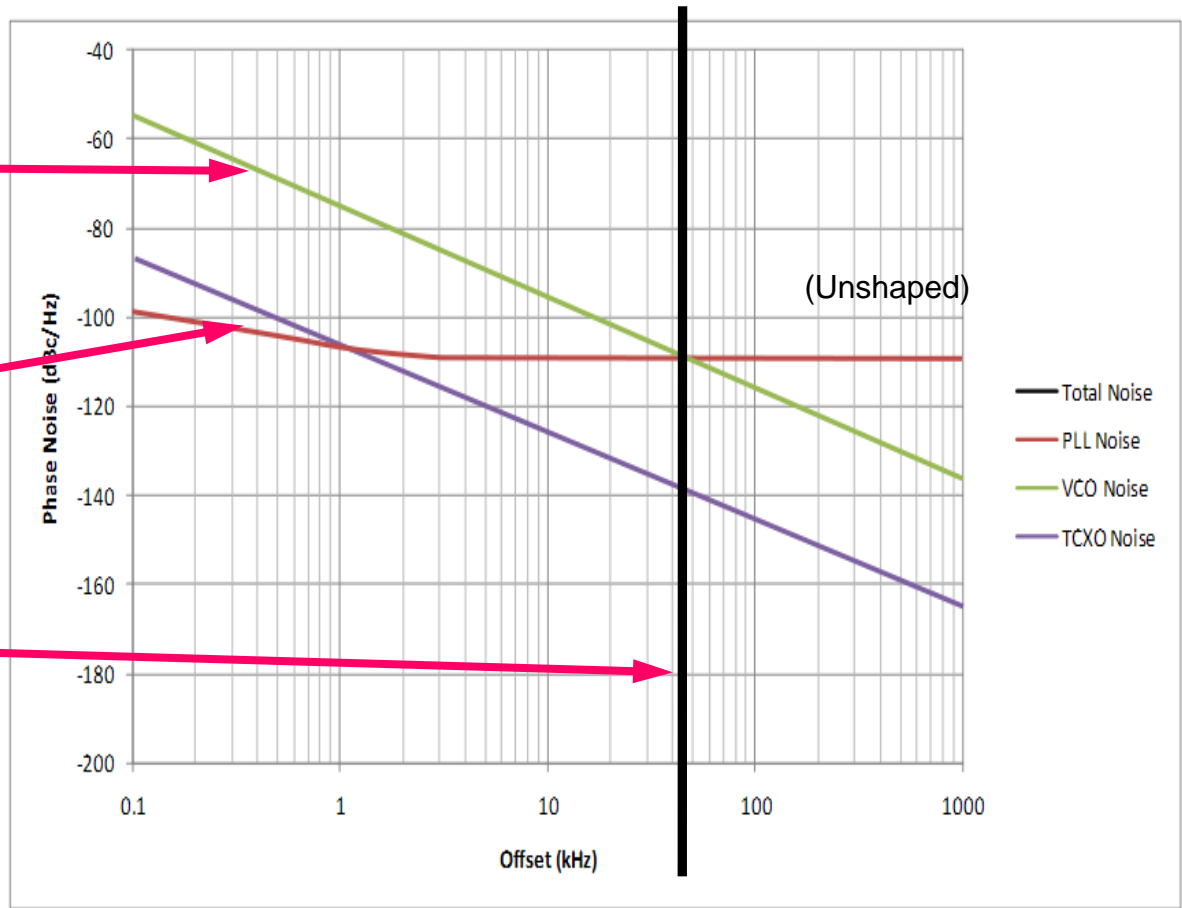
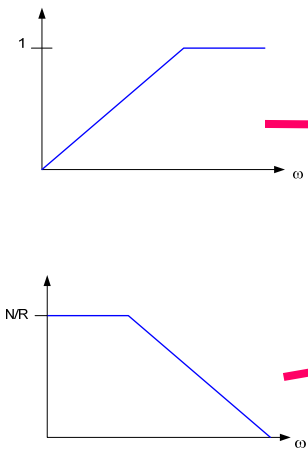
Loop Bandwidth, Phase Margin, Gamma



How Do I Pick Loop Bandwidth?

- **Loop Bandwidth $\leq f_{PD} / 10$**
 - Violating this causes instability issues due to discrete sampling action of the phase detector
- **Wider Loop Bandwidth > Faster Lock Time, but Worse Spurs**
- **Choosing the loop bandwidth equal to the frequency where PLL noise and VCO noise are equal optimize the integrated phase noise (i.e. jitter, phase error, evm, ...)**
 - Actually, make about 25% wider to account for fact that loop filter is not a brick wall filter
 - This does not account for spurs

Minimum Integrated Phase Noise Bandwidth



Crossover = 50 kHz
Make BW = 50 kHz * 1.2

How Do I Pick Phase Margin?

- **70 – 80 degrees is for a very flat response and for minimum integrated phase noise**
- **48 degrees (varies a little from design to design) is optimal for lock time**
- **Lower Phase Margin is good for slightly better spurs, especially for higher order filters**

How do I Pick Gamma?

- **Given a phase margin, Gamma can be estimated for optimal lock time, and typically this is best for spurs too.**
- **For some pathological cases involving partially integrated loop filters, gamma can be tweaked for a wider loop bandwidth**

Phase Margin	γ for Fastest Lock Time
30	1.4
35	1.41
40	1.29
45	1.09
50	0.94
55	0.85
60	0.7
65	0.49
70	0.24
75	0.05
80	0.08

Apply the Constraints

- **Apply Constraint that forward loop gain at loop bandwidth frequency is equal to 1**

$$\left\| \frac{K_{PD} \cdot K_{VCO}}{N \cdot s} \cdot \frac{1 + T2}{s \cdot (C1 + C2) \cdot (1 + s \cdot T1)} \right\|_{s=2\pi \cdot BW} = 1$$

- **Set Phase Margin to Desired Value, ϕ**

$$\phi = 180 + \tan^{-1}(2\pi \cdot BW \cdot T2) - \tan^{-1}(2\pi \cdot BW \cdot T1)$$

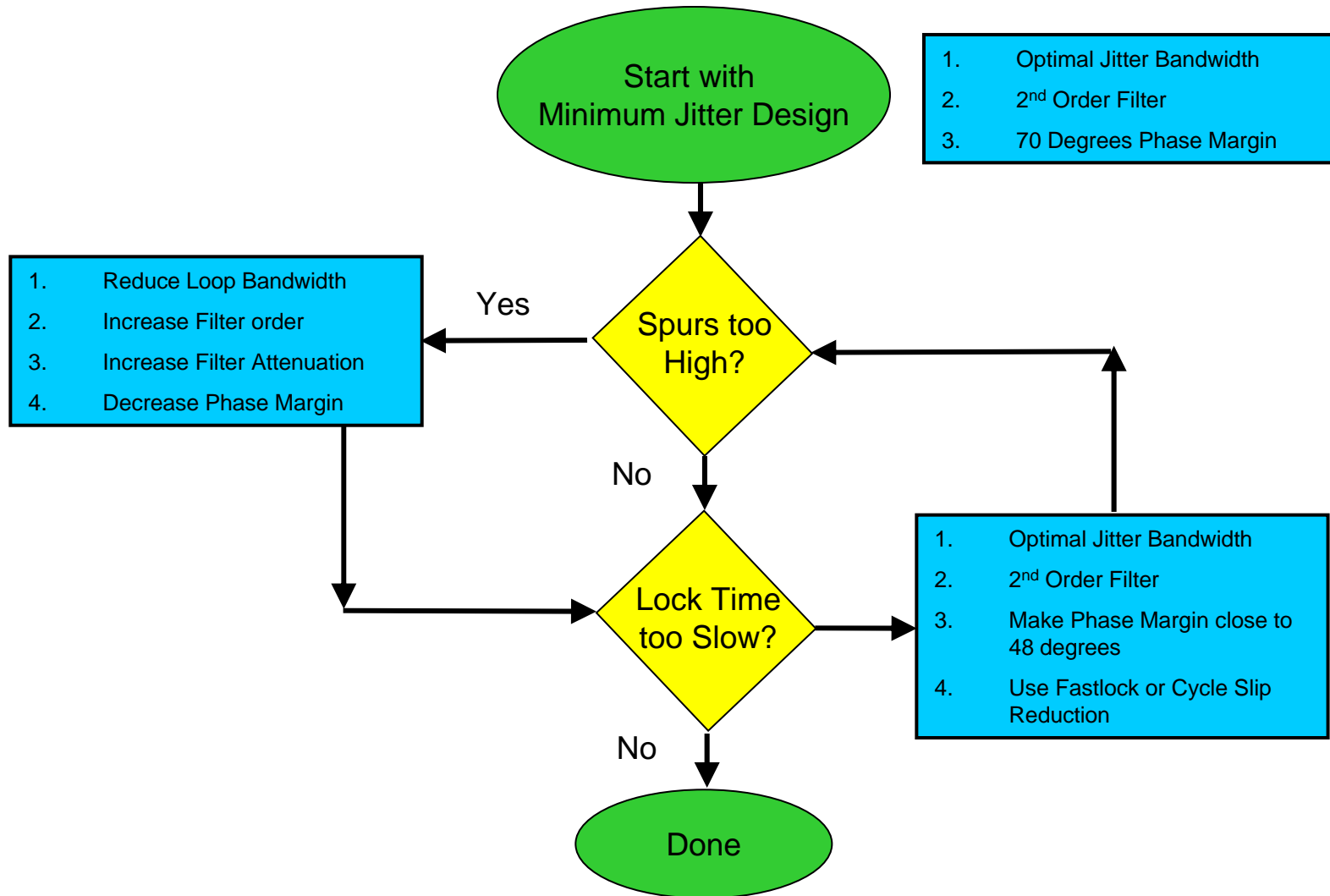
- **Apply Constraint that phase margin is maximized at loop bandwidth and define parameter, γ**

$$\gamma = \frac{1}{(2\pi \cdot BW)^2 \cdot T2 \cdot T1}$$

What About Higher Order Filters?

- **3rd Order Filter**
 - Define T3/T1 Ratio as an additional constraint
 - Maximize value of C3 (closest to VCO)
- **4th Order Filter**
 - Define T3/T1 Ratio
 - Define T4/T3 Ratio
 - Choose C1 and C3 to maximize C4
- **Filter with C3, C4, R3, R4 Integrated**
 - No constraints to define

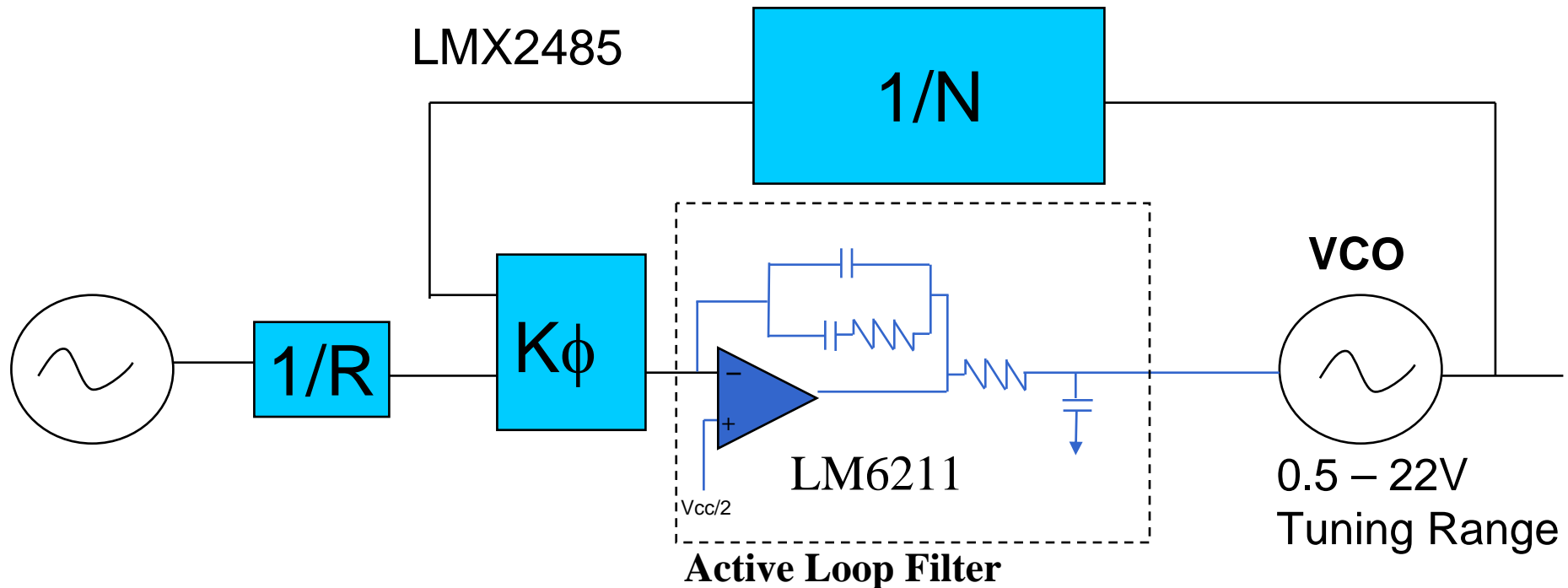
Possible Design Approach



More Common Approach

- **Often times when presenting loop filter design, it gives an increased appreciation of the various design tools. National Semiconductor has excellent design and simulation tools at www.national.com**
- **For those seeking more details on the math, consult “PLL Performance, Simulation, and Design”, by Dean Banerjee**

Active Filter Example



- Pole After op-amp reduces op-amp noise
- Voltage is centered at half charge pump supply for optimal spurs



LM6211 Op-Amp

- **Allows Much Wider Tuning Voltage for VCOs**
 - 5 to 24 volt operation
 - Goes to within about 100 mV of rail
- **Minimal Added Noise**
 - Voltage Noise = 5.5 nV/Sqrt(Hz)
 - Current Noise = 0.01 pA/Sqrt(Hz)
- **Requires No Negative Supply**
 - Input can go to 0 volts.
- **Does not Cause Large Spurs**
 - Input Bias Current = 10 nA max
- **Small Package**
 - SOT23-5
- **Low Current**
 - 1 mA

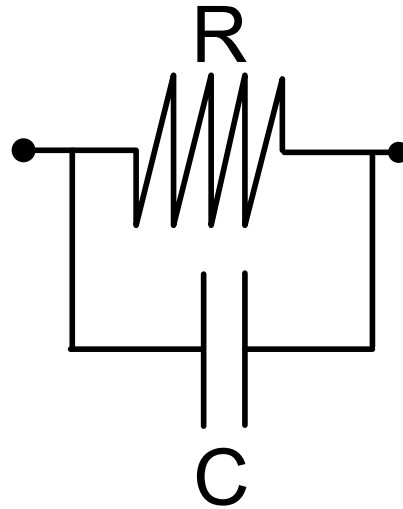
Summary – Rules of Thumb

PLL Functional Block	To minimize Noise contribution...	Why?
Phase Detector/Charge Pump	Maximize charge pump gain (K_{ϕ}) (up to a certain point)	The phase detector noise contribution is theoretically proportional to $1/(K_{\phi})^2$
R-counter and N-counter divide ratios	Maximize phase detector comparison frequency \rightarrow this minimizes N	The noise contribution of the R and N dividers is proportional to N^2 .
Reference oscillator	Use highest frequency practical and use $R > 1$ if possible. If deciding between maximizing R and minimizing N, minimize N.	The noise contribution from the reference oscillator is proportional to $(N/R)^2$

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Real World Resistor



- For high resistance values and high frequencies, resistor might not look like its theoretical value
- $C \sim 0.2 \text{ pF}$

Real World Capacitor (ESR)



- **Capacitors have an equivalent series Resistance (ESR)**
 - ~ 0.05 ohms for ceramic caps
 - Bigger for larger caps
 - Several ohms for tantalum caps
 - Due to ESR, not always best to choose largest capacitor for power supply
- **Self-Resonant Frequency**
 - Above this frequency, cap looks more like an inductor with a DC block
 - Sometimes good for high frequency input of PLL
- **Dielectric Absorption**
 - If a voltage is applied across a cap, then a short, a residual voltage can appear
 - Don't see this effect as much for COG (NP0) and film capacitors
 - Can increase lock times (even up to 5X) if
 - Phase Detector frequency is lower (< 1 MHz)

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TCXO Input Pin

- **Some Parts are sensitive to the slew rate of the signal**
 - Better Spurs for faster slew rate
 - Better phase noise for faster slew rate
- **Other parts are really no that sensitive**
- **Often times, this is high impedance at these lower frequencies, so a 51 ohm resistor to ground often does work.**

High Frequency Input Pin from VCO

- **Input Impedance tends to be capacitive and is not always high impedance, especially at higher frequencies**
- **Smaller capacitors are good for higher frequencies, but don't get carried away.**
 - Keep the Reactance no more than about 1 ohm
 - Too low value allows harmonics to pass through easier
- **Resistive splitter or pad is most reliable**
- **Sometimes, increasing this attenuation can improve spurs if they are outside the loop bandwidth**
 - If you see that spurs get worse with higher charge pump current, even when filter is redesigned for the same loop bandwidth
 - If you see that fractional spurs of the same offset get worse with higher phase detector frequency, even when filter is redesigned for the same loop bandwidth

Power Supply Pins

- **Integrated Regulator Output Pins**
 - Sometimes sensitive to too low ESR for devices with integrated VCO
- **Charge Pump Supplies can be sensitive**

VCO Power Supply Noise Impact

- **Influenced by Pushing of the VCO**

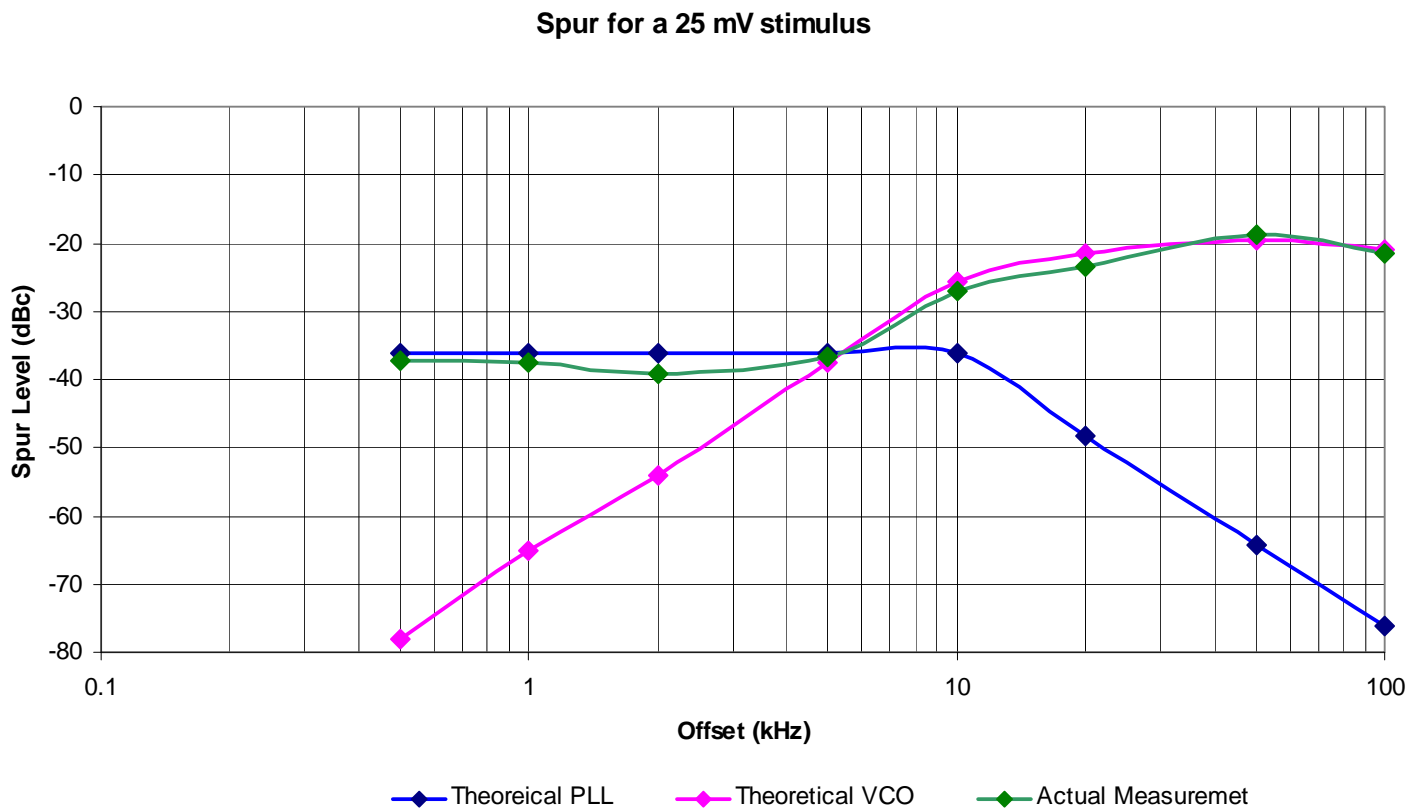
$$\beta = \frac{\text{Frequency Deviation}}{\text{Modulation Frequency}} = \frac{K_{\text{PUSH}} \cdot V_{\text{IN}}(f)}{f}$$

$$\text{Sideband}(f) = 20 \cdot \log|J_1(\beta)| = 20 \cdot \log\left(\frac{\beta}{2} - \frac{\beta^3}{2^2 \cdot 4} + \frac{\beta^5}{2^2 \cdot 4^2 \cdot 6} + \dots\right)$$

$$\approx 20 \cdot \log\left(\frac{\beta}{2}\right) \quad \text{for } \beta \ll 1$$

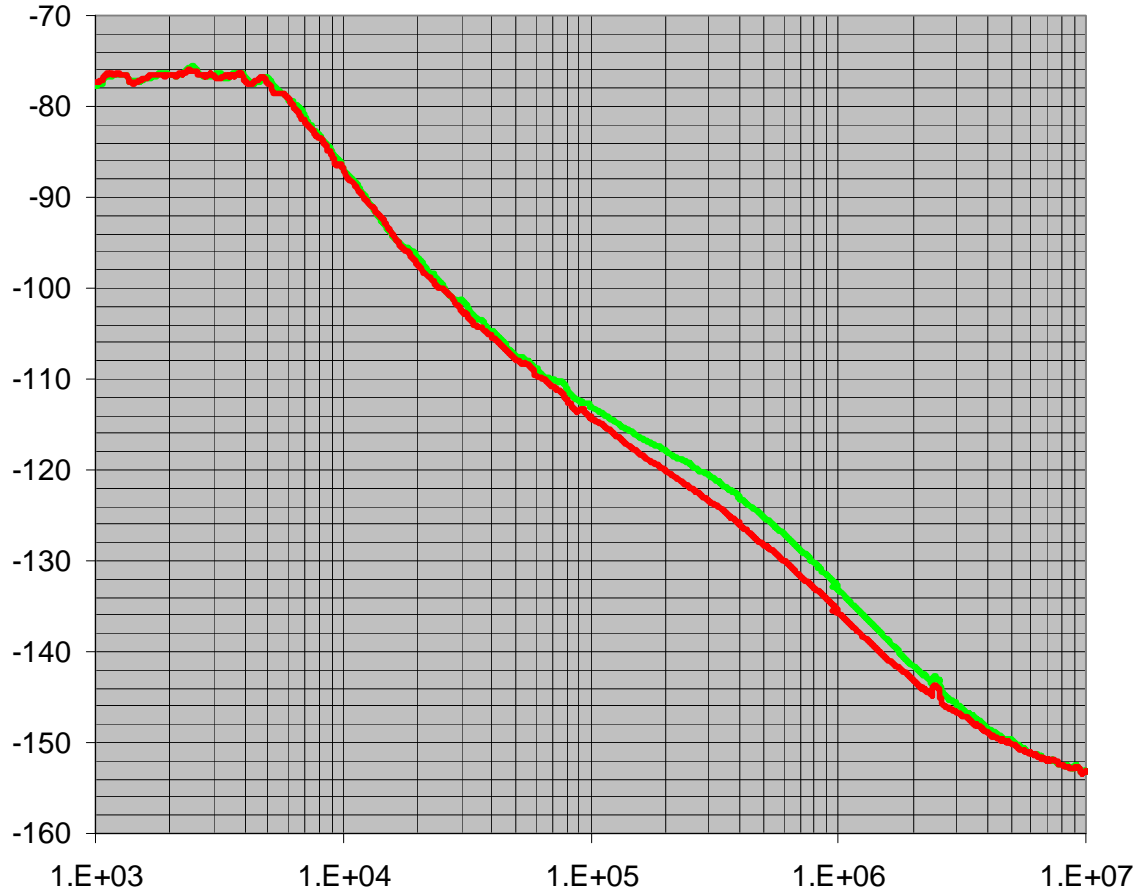
- **Dependent on Frequency**
- **PSRR is loop filter and frequency dependent**

LMX2541 Power Supply Noise Example





Impact of Resistance on Vreg Pins



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Quick PLL Debugging Tricks

- **Step 1: Ensure Proper Communication**
 - Typically, PLLs do not have register readback
 - Use the powerdown bit (not pin) and observe current change to ensure part is reading programming correctly
- **Step 2: Observe Proper voltages and bias levels**
 - VCO input pin typically is 1.6 V powered up, 0 V powered down
 - OSC input pin is typically $V_{cc}/2$ powered up, 0 V powered down
 - Internal regulators can also have their bias levels
 - Be aware ESD protection diodes on parts can sort of power up unconnected pins to make the part perform with strange symptoms
- **Step 3: Check Loop Filter Issues**
 - Flip the phase detector to see the VCO jump to ensure no open/short issues
 - Lower charge pump gain and phase detector frequency to check stability issues
- **Step 4: Check the Counters**
 - Check the counter outputs at the Ftest/LD pin to ensure they are correct
 - Touching your finger to the part can sometimes help debug impedance matching issues

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